



9-29-00

Page 1 of 2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) &amp; (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

A  
PATENT  
APPLICATION

## RULE 53(f) NO DECLARATION

Assistant Commissioner of Patents  
and Trademarks  
Washington, DC 20231Atty. Dkt. PM 12607  
M#265981  
Client Ref

Sir:

Date: September 28, 2000

1. This is a Request for filing a new Patent Application  Design  Utility) entitled:

2. (Complete) Title: METHOD OF AND SYSTEM FOR PROCESSING TRANSACTIONS

without a filing fee or Oath/Declaration but for which is enclosed the following:

3.  Abstract 1 page(s).4. 22 Pages of Specification (only spec. and claims); 5.  Specification in non-English language

6. 27 Numbered claim(s); and

7.  Drawings: 3 sheet(s)  1 set informal; 8.  formal of size:  A4  11"9. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/156,814	09/29/99	(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in \_\_\_\_\_

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

11. \_\_\_\_\_ (No.) Certified copy (copies):  attached;  previously filed (date) \_\_\_\_\_  
in U.S. Application No. / filed on \_\_\_\_\_12.  This is a reissue of Patent No. \_\_\_\_\_13.  See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)14.  Amend the specification by inserting before the first line -- This is a  Continuation-in-Part  
 Divisional  Continuation  Substitute Application (MPEP 201.09) of:14(a)  National Appln. No. / filed \_\_\_\_\_ .- - (M# ) \_\_\_\_\_14(b)  International Appln. No. PCT/ filed \_\_\_\_\_ which  
designated the U.S. --15.  Amend the specification by inserting before the first line: --This application  
claims the benefit of U.S. Provisional Application No. 60/\_\_\_\_\_, filed \_\_\_\_\_. --16. Extension to date:  concurrently filed  not needed  previously filed

17.  Prior application is assigned to

by Assignment recorded \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

18.  Attached:

19. This application is made by the following named  
inventor(s)

(Double check instructions for accuracy.):

(1) Inventor	Neal	<b>GOLDSTEIN</b>	
	First	Middle Initial	Family Name
Residence	Palo Alto	California	
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(2) Inventor	Adam	<b>RICHARDS</b>	
	First	Middle Initial	Family Name
Residence	San Francsco	California	
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(3) Inventor	David	<b>SHERR</b>	
	First	Middle Initial	Family Name
Residence	Haddonfield	New Jersey	
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(Include Zip Code)			

(4) Inventor	David	<b>LEVY</b>	
	First	Middle Initial	Family Name
Residence	San Francisco	California	
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(Include Zip Code)			

(5) Inventor	Chalon	<b>MULLINS</b>	
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(Include Zip Code)			

20. NOTE: FOR ADDITIONAL INVENTORS, check box

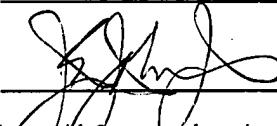
and attach sheet with same information regarding additional inventors.

**Pillsbury Madison & Sutro LLP**  
**Intellectual Property Group**

1100 New York Avenue, NW.  
Ninth Floor  
Washington, DC 20005-3918  
Tel: (202) 861-3000  
Atty/Sec: JEJ/KAC

By: Atty: Jonathan E. Jobe, Jr.

Reg. No. 28,429

Sig: 

Fax: (202) 822-0944  
Tel: (619) 544-3102

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

# APPLICATION UNDER UNITED STATES PATENT LAWS

Atty. Dkt. No. PM 12607-265981  
(M#)

Invention: METHOD OF AND SYSTEM FOR PROCESSING TRANSACTIONS

Inventor (s): Neal GOLDSTEIN; Adam RICHARDS; David SHERR, David LEVY, Chalon MULLINS

Pillsbury Madison & Sutro LLP  
Intellectual Property Group  
1100 New York Avenue, NW  
Ninth Floor  
Washington, DC 20005-3918  
Attorneys  
Telephone: (202) 861-3000

## CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. §1.10

I hereby certify that his correspondence (along with any paper referred to as being attached or enclosed) is being mailed via "Express Mail Post Office to Addressee" service of the United States Postal Services (Express Mail Label No. ELGOLLIOKLUS) on the date shown below in an envelope addressed to the Commissioner of Patents & Trademarks, U.S. Patent and Trademark Office, Washington, D.C., 20231.

Dated: 9/28/00

By: KC Cabello

This is a:

- Provisional Application
- Regular Utility Application
- Continuing Application
  - The contents of the parent are incorporated by reference
- PCT National Phase Application
- Design Application
- Reissue Application
- Plant Application
- Substitute Specification
  - Sub. Spec Filed \_\_\_\_\_ / \_\_\_\_\_  
in App. No. \_\_\_\_\_ / \_\_\_\_\_
- Marked up Specification re  
Sub. Spec. filed \_\_\_\_\_ / \_\_\_\_\_  
In App. No \_\_\_\_\_ / \_\_\_\_\_

## SPECIFICATION